#### Los Angeles County Probation Oversight Working Group

#### **DRAFT**

Working Document: Mission/Vision; Findings; and Recommendations version 10-18-16

#### RECOMMENDED ONLY

#### **MISSION**

The mission of the Los Angeles County Civilian Probation Oversight Commission is to restore public trust in, and ensure that professionalism and best practices are used throughout the Los Angeles County Probation Department in custodial and non-custodial settings. The Commission shall oversee and monitor all aspects of the Department, including hiring, education and training, policies, practices, procedures, culture, field and custody field operations to ensure improved:

- Transparency
- Accountability
- Positive morale
- Implementation of the Oversight Working Group recommendations
- Adherence to best practices for juvenile and adult probationers
- Effective use of resources
- Collaboration with relevant agencies, organizations, and the community
- Enhancement of public safety
- Preservation of victims' rights, and
- Ongoing development of positive change

#### **VISION**

The Los Angeles County Civilian Probation Oversight Commission shall oversee the Probation Department's adherence to its legal mandates and mission; promote fairness, effectiveness, and efficiency within the Department; provide advice to the Chief of Probation and the Board of Supervisors; and, facilitate internal and external communication and transparency and accountability.

Page 1 Last revised 10.18.2016

# FINDINGS (some of these issues go beyond the mandate of the working group's governing motion, but are directly related to the oversight of Probation, and critical to highlight)

 There is a Need for Greater Oversight over Probation's Compliance with a Clear Mission and Consistent Leadership

Probation appears to lack a clear mission that drives its practice (aside from laws and mandates). This void can be felt throughout the department; as a result, Probation ends up getting pushed and pulled in different directions, and being reactive, as opposed to working proactively towards clear, well-understood department goals. There is a clear need for a guiding philosophy shared throughout the department to inform its decisions and actions. The Oversight Commission should work to ensure development of and compliance with a clear mission and strategic plan that is felt throughout the department.

- Need for Improved Communication Between Oversight Entities
  - The Commission should promote improved communication between and among existing probation oversight entities. The current lack of coordination and clear lines of communication renders the Probation Department susceptible to critiques, and even lawsuits.
- The Oversight Commission Should Streamline Information, Recommendations, and Requests to Probation

There is a significant need to streamline the process by which oversight bodies request information from Probation to avoid duplication and the unnecessary expenditure of Probation time and resources spent responding to multiple agencies, generating reports, and repetitive questions. There should be a mechanism by which a single oversight body has the authority to compile inquiries and requests for information; receive information and reports from all citizen oversight or advocacy groups; evaluate information; and, synthesize duplicative requests and/or repetitive concerns. This Oversight Commission should be the sole oversight entity to which Probation responds with requests for information. Such streamlining might also save County resources.

• The Commission Should Facilitate Implementation of Recommendations
There is a lack of follow-through for current oversight reports and
recommendations. There is a need for strategic and work action plans that
incorporate continued review and improvement based on data and
outcomes. There is also a need for multidisciplinary interaction and
communication to implement recommendations.

Page 2 Last revised 10.18.2016

#### • Need for Evaluation

There should be a thorough, constructive, "friendly" 360-degree evaluation of all departments, individuals, and agencies involved in probation. Currently, judges, and many other stakeholders are not evaluated in a meaningful, constructive way, and they should be to promote ongoing improvements of the system.

- The Probation Department Should Build on Probationers' Strengths There is a need for a greater strengths-based approach throughout the Department for both adult and juvenile supervision.
- The Services Integration Branch is inadequate to handle the follow-through. (we need to flesh this out and provide an example...)

#### SPECIAL CONSIDERATIONS FOR SUPERVISION OF JUVENILE PROBATION

• Need for a Strategic Plan for Juvenile Justice in Los Angeles County

To address the current, siloed structure with multiple bodies looking at what
probation is doing, we need a new, comprehensive strategic plan for juvenile
justice in Los Angeles County. This plan must include collaboration and
integration of all involved, and embody multiple, disparate disciplines. All
stakeholders need to be represented at the table, including parents and family
members of probationers. This plan would be in alignment with the new strategic
plan for the Department.

#### Need for a Juvenile Justice Commission

There is a need for a commission to assume the responsibilities allocated to a juvenile justice commission under the WIC § 229. The Board of Supervisors should afford the new Oversight Commission the powers of a juvenile justice commission, in addition to other responsibilities and authority for adult and juvenile oversight. In other counties, a juvenile justice commission is established through the county charter.

#### • The Juvenile Reentry Council should be reinstated

The Juvenile Reentry Council was disbanded because Probation felt it was too much work to manage. There remains a critical need for it, however, and it should be reactivated.

• Juveniles Need Tailored Support from Prevention through Reentry

There is a need for one case plan, including multi-disciplinary allied agencies, with a case manager to follow youth - from low risk youth to the most serious offenders – from prevention through reentry. This recommendation does *not*, however, suggest that probation officers should supervise youth receiving only prevention services, which the Working Group is concerned encourages netwidening. Rather, the Working Group recommends the Commission facilitate collaboration with community-based organizations and other agencies, when

Page 3 Last revised 10.18.2016

appropriate, to provide youth with prevention and early intervention services, and keep them out of the juvenile and criminal justice system, and off of probation.

#### • Special Protections for TAY

There must be special protections for transition age youth (TAY) – ages 16 - 24 – within the Department. Such protection might come in the form of a special TAY division within the Department; or, inclusion of TAY in the juvenile division.

#### • Need for job readiness/training

Vocational training and job readiness, preparation, and training should be prioritized and offered, especially to youth in the juvenile probation camps, and in partnership with community colleges for youth who are out of custody.

#### Families/Relatives

There needs to be greater work done to find extended relatives for youth who are frequently sent to juvenile hall for lack of a stable family situation. There is a failure to identify relatives and even fathers who might be available to care for a court-involved youth. There also should be family-centered access to all county services relative to successful rehabilitation and the prevention of recidivism.

#### • AB 216 has Proven Problematic for Confined Probation Youth

The ability to graduate with fewer credits leads to probation youth completing their credits while in camp or the halls, before completing their term of confinement. As a result, youth are sitting around with nothing productive to do. These youth need to be engaged in educational enrichment, job training, and other productive learning opportunities to help prepare them for successful reentry.

# • The pre-plea report system in Los Angeles County is complicated at best, but potentially harmful to youth who have not yet been adjudicated, and potentially do not necessarily belong on probation

We have heard a number of concerns about this practice, which is unique to Los Angeles County (and Riverside), and potentially impacts probation's caseload (and effectiveness). Because probation officers are tasked with writing these preplea reports, in lieu of disposition reports, they cannot obtain the full picture and all of the information that might be necessary and helpful for disposition and subsequent services. This practice merits careful review and reconsideration.

#### Protection for Youth in Facilities

The Probation Department should separate DRC adult and juvenile lobby entries, so children and youth do not have to comingle with adults in the lobby areas.

#### • Special Protections for Uniquely Vulnerable Populations

The Commission should pay special attention to the need to evaluate, assess, and afford special protections for crossover youth and LGBTQ youth. Staff should receive special training in the unique sensitive issues facing crossover and LGBTQ youth.

Page 4 Last revised 10.18.2016

#### SPECIAL CONSIDERATIONS FOR SUPERVISION OF ADULT PROBATION

#### • Special Monitoring of Probation's Felony Supervision Caseloads

Probation officers perform their duties individually or in teams, and supervise over 50,000 adults for felony offenses, many of whom suffer from mental health issues, substance abuse, gang affiliation, lengthy criminal histories, homelessness and/or transience. These assignments afford probation officers significant autonomy, and some officers are armed, and assigned to multi-agency law enforcement task forces. The Probation Oversight Commission should take special care to monitor the hiring, training, policies, practices, and requisite qualifications for officers with these assignments.

#### • AB 109/ Realignment Supervision

As the lead agency for Post-Release Community Supervision, the Probation Department has sole responsibility for determining AB 109 eligibility, modifying risk levels, and determining the need for additional monitoring from law enforcement. AB 109 cases, which often include supervision and involvement from multiple agencies, organizations, and services, requires careful collaboration and cooperation. The Probation Officers assigned to supervise these cases are often armed.

AB 109 also authorizes "flash incarceration" at the local level for up to 10 days, which Los Angeles Probation has described as a "therapeutic" intervention.

The Oversight Commission should take care to provide careful scrutiny of the policies, procedures, training, protocols, and required interagency collaboration governing supervision of these assignments.

#### Proposition 36 Cases and Proposition 47

The Probation Oversight Commission should monitor the department for procedural, staffing, and training changes in adult probation with respect to Prop 36 caseloads, to ensure compliance with changes mandated by Proposition 47.

#### • Tailored Supervision for Graduated Risk Levels and Caseloads

The Probation Oversight Commission should monitor the Probation Department's policies and procedures with respect to supervision for the automated minimum services caseload; the "Medium Risk Offender" caseloads, and the "High Risk Offender" caseloads to ensure compliance with best practices, the availability of appropriately tailored resources and treatment, and to assess the rates of recidivism and success for each population.

#### • Medium Risk and High Risk Narcotics Testing

The Oversight Commission should monitor the procedures and practices governing the supervision of probationers with a court-ordered requirement to submit to random narcotic testing, and assess the availability of and need for greater substance abuse treatment and services.

Page 5 Last revised 10.18.2016

#### • Family Violence Caseloads

These caseloads frequently have crossover with the Department Children and Family Services. The Oversight Commission should work to promote improved and effective collaboration with DCFS, and review policies and procedures to ensure Probation personnel have access to appropriate training and services for probationers under their supervision.

#### • Domestic Violence Monitoring Unit

This unit is critical to ensure that probationers receive the approved, state-mandated services required as a condition of their supervision. Similar to the Family Violence Unit, this area may have crossover with the Department Children and Family Services. The Oversight Commission should review policies and procedures to promote effective collaboration with DCFS, and the use of appropriate services and best practices in these cases.

#### • Adult Gang Supervision

The supervision of probationers assigned to this caseload often involve armed probation officers working as a team or in partnership with allied law enforcement agencies. The Oversight Commission should take special care to review the training, policies, procedures, and protocols for supervision of these cases.

#### • Sex Registrant

The Probation Oversight Commission should review policies, procedures, equipment and vendors (for GPS monitoring) to ensure best practices and equipment are used to protect the public and probationers.

#### CONSIDERATIONS FOR BOTH ADULT AND JUVENILE SUPERVISION

#### Homelessness and Housing

Homelessness and housing is a critical problem in Los Angeles County that can disproportionately affect youth and adults under probation supervision. Special training for DPOs and Probation supervisors in housing resources and opportunities, advocacy services, and sealing and expungement programs could make a critical difference. This area warrants ongoing monitoring by the Los Angeles County Civilian Probation Oversight Commission.

#### Substance abuse

Substance abuse is a terrible threat to youth and adults in the juvenile and criminal justice systems. Probation must communicate and work in closer collaboration with substance abuse programs. On the juvenile side, it is unacceptable that a youth who tests positive for a substance from probation can still graduate from a substance abuse program (which might test the youth at different times). Drug court in Los Angeles County (which has been proven effective and exists in three of eight locations) provides services to youth under its jurisdiction. These services should be available to all youth on probation who struggling with substance abuse issues. Corresponding services for adults should be available for all adults under

Page 6 Last revised 10.18.2016

Probation supervision who are struggling with substance abuse issues. Probation should take care to educate and inform officers and probationers about the dangers of fatal, cheap drugs like "spice," which are on the rise and have claimed the lives of an increasing number of youth and adults in its care. The Oversight Commission should monitor to ensure that programs and organizations receiving referrals from Probation for substance abuse treatment show fidelity to evidence-based and evidence-informed best practices, and that they are consistently evaluated.

#### • Mental health services and counseling

We need greater services for youth and adults who are deemed "not competent" to stand trial. The court cannot order mental health services for individuals who are not under the court's jurisdiction. Mental health services, restorative justice services, and counseling should all be made available for those probationers.

#### Racial Equity

Racial and ethnic disparities plague all aspects of the juvenile and criminal justice system. Education and training about racial bias (both implicit and explicit), as well as structured guidelines for decision-making can help ensure probation officers' decisions to charge a juvenile or adult for a probation violation are less susceptible to racial bias. The Probation Oversight Commission should take care to monitor the provision of ongoing training, education and guidelines, consistent with best practices and current research, that is specifically tailored to addressing racial and ethnic disparity within the Department.

#### **Administration- Personnel Issues**

#### Background Checks

Backgrounds should be compliant with the standards set forth in California Government Code sections and subsections of 1029, 1031, California Penal Code sections 830, 6035, 6036 and 13510.

While background investigations are confidential, the Probation Oversight Commission can and should carefully review the standards for hiring, procedures on how they are conducted, processed, evaluated, and stored.

#### • Separate Clearance Process for VISTO

There should be a separate clearance process for VISTO (volunteers and interns) from Human Resources Employment processing/clearance. At the same time, we have to take special precaution and measures to screen who can come in to facilities.

Page 7 Last revised 10.18.2016

#### Education and Training

The education and training of probation officers and probation staff is critical to culture change, meeting established standards, and implementing best practices. Training should be ongoing, reflect best practices and current research, evidence, and advances in the field, and meet the legal mandates established by the California Board of State and Community Corrections (BSCC) and the California Commission on Peace Officer's Standards and Training. (POST).<sup>1</sup>

The Probation Oversight Commission should monitor and audit core training, specialized training, and in-service training.

#### • Discipline – Internal Affairs

Although internal affairs investigations and resulting disciplinary actions must generally remain confidential, the Oversight Commission must have a mechanism to monitor employee performance, compliance with department policy and procedures, and adherence to the law.

The current mechanism is a monthly report by the Office of Independent Monitoring that provides a redacted synopsis of the type of misconduct and the resulting discipline. Unfortunately, this information tends to focus on those incidents handled by the Professional Standards Bureau and may not include lessor incidents handled at the Camp or Juvenile Hall level.

The current mechanism facilitated by the Office of Independent Monitoring, should be expanded to report monthly on all incidents founded and unfounded regardless of how minor. This would provide a source of information that may identify if policies or procedures need to be changed, if there is a climate or culture fostering the conduct or identify training issues.

There needs to be a centralized bureau that tracks all complaints, investigations and discipline. A computer program for tracking complaints and allegations should be implemented to identify any personnel with a pattern of misconduct.

#### Records management

Need for a comprehensive Records Management System to allow for accurate recording of all department reports, ease in tracking data, and an audit to reveal who is accessing data.

Page 8 Last revised 10.18.2016

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<sup>&</sup>lt;sup>1</sup> These standards are established by BSCC and POST under the authority of California Penal Code Sections 6035, 6036 and 13503.

#### • Recruitment

The Department needs to develop a recruitment plan to attract candidates with the personalities, skills, and qualifications needed within the Department. The Department needs probation ffficers who are uniquely qualified to work with individuals and help promote strengths and develop positive changes in their behavior, while also serving as law enforcement officers.

#### Hiring

The Oversight Commission should ensure that hiring practices reflect evidence-based standards and best practices in the field; meets Board of State and Community Corrections standards; meets Commission on Peace Officers Standards and Training peace officer standards; complies with all relevant legal mandates; and, meets the standards of the Probation Department and the County of Los Angeles.

#### Future discussion items

- Structure of the Los Angeles County Civilian Probation Oversight Commission
- Legal issues
- Oversight Commission Logistics
  - *Identification cards*
  - Business cards
  - County Plastic name badge
  - Become member to CCJCC?
  - Staff?
  - Budget?
  - OIM, IG or other?

Page 9 Last revised 10.18.2016

## Recommendations are organized into 5 Key Categories:

- I. Merge, Replace, Reconfigure or Expand Existing Entities
- II. Identify Overlaps & Gaps; Define Coordination
- III. Identify Investigative & Monitoring Needs
- IV. Determine Relationship of Juvenile & Adult
  - V. Define Commission Structure, Authority, Responsibilities

# I. ANALYSIS OF CURRENT OVERSIGHT LANDSCAPE: DETERMINE WHICH COMMISSIONS OR OVERSIGHT ENTITIES CAN BE MERGED, REPLACED, RECONFIGURED, OR EXPANDED

#### Sybil Brand

We recommend that the Board of Supervisors sunset the Sybil Brand Commission's responsibility to oversee Probation Department functions. There is a clear need for ongoing, consistent reporting and monitoring of the Probation Department, and the Working Group feels it is important to unify Los Angeles County Probation Oversight efforts under one body. Under its configuration, the Sybil Brand Commission tacks the authority and capacity to provide that function. The Working Group believes there may be considerable overlap between role of Sybil Brand Commission and some of the potential responsibilities of the new Probation Oversight Commission. We therefore recommend the Board of Supervisors sunset the role of the Sybil Brand Commission with respect to Probation Oversight. Doing so, or making any modification, will require a change to the Los Angeles County Code<sup>3</sup> as directed by the Board of Supervisors.

#### Civil Grand Jury

In addition to being reviewed by the BOS, we recommend that their reports are also reviewed by the new Commission, and then included in centralized database and distributed to all relevant stakeholders.

Page 10 Last revised 10.18.2016

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<sup>&</sup>lt;sup>2</sup> The Sheriffs Oversight Working group came to a similar conclusion, with which we concur. See Report to the Los Angeles County Board of Supervisors from the Working Group Civilian Oversight Commission for the Los Angeles County Sheriff's Department: http://ceo.lacounty.gov/pdf/Final%20Report%206-22-15.pdf

<sup>&</sup>lt;sup>3</sup> See LA County Code, Chapter 2.82

#### • Auditor-Controller's DOJ Project

The Working Group recommends that inspections of juvenile facilities must be conducted by individuals with the authority to make unannounced visits and talk with the youth. The working group believes there should be continued monitoring of the issues highlighted by the Auditor-Controller's DOJ Project, but that the Oversight Commission should assume this responsibility moving forward.<sup>4</sup>

#### • Probation Commission

Discuss after they present to us. Read and discuss their letter – put on agenda for future meeting when they present. Consider points Judge Nash made.

#### Ombudsman

- (1) The Working Group unanimously agrees that there should be an Ombudsman who has staff and resources.
- (2) Placement of Ombudsman
- II. IDENTIFY OVERLAPS AND GAPS IN RESPONSIBILITIES FOR THE COMMISSIONS THAT WILL REMAIN. RECOMMEND HOW BEST TO COMMUNICATE AND COORDINATE OVERSIGHT EFFORTS.
  - a) Need for a "Live" and Current Database an info Clearinghouse
    The Commission should maintain an active website, that includes a live
    database to house all reports; status updates on recommendations and
    follow-up. This database should include links to the various reports and be
    available and easily accessible by the public, county departments, citizen
    oversight entities, advocacy groups, etc. to promote transparency and
    facilitate monitoring and oversight. This Commission should then
    streamline the flow of information, reports, and recommendations into a
    comprehensive system that addresses and responds to concerns.
  - b) Strengthen the Partnership Between LACOE and Probation
    The Oversight Commission should take special care to clarify the role between Probation and LACOE, and help ensure coordination and an effective process for the two agencies to work together, share information, and report regularly (to one another and to the Commission) about the educational progress of probationers. The Senior Director of Education

Page 11 Last revised 10.18.2016

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<sup>&</sup>lt;sup>4</sup> The Working Group recommends a move to outcome-based reviews, and believes the new Commission should have the ability to work in consultation with the Auditor-Controller's office as necessary, especially where document review and a subsequent report is required.

Services in the Los Angeles County Probation Department, should report directly to the Chief Probation Officer, as originally designated when the position was established. The Chief Probation Officer and the Superintendent of LACOE should work together on comprehensive education reform. The community college district should be intentionally included in this collaboration, and in a revised reporting structure, as well.

# III. IDENTIFY INVESTIGATIVE AND MONITORING NEEDS FOR PROBATION AND STRUCTURE OF THE NEW COMMISSION

#### **INSPECTIONS**

- a) Currently, Commissions are charged with inspecting facilities times per year. (Include Juvenile and Adult....) There is a need to ensure through, ongoing (monthly?) inspections and follow up. That will require a robust, paid staff and resources. Also consider how to engage the judges in a more comprehensive way.
- b) The Probation Commission has been conducting inspections of ALL of the juvenile facilities. WIC § 240-243.
- c) With respect to inspection of adult facilities, the Probation Commission is not charged with oversight of any <u>custody facilities</u>, just 2 DRCs, as well as supervision and investigation.

### d) The Oversight Commission Should Facilitate Coordination and Communication about Inspection Results

Currently, when a Sybil Brand Commissioner conducts an inspection, and a Probation Commissioner conducts an inspection, the information and findings are currently rarely (or never) shared between commissions. The Oversight Commission should work to ensure that all visits and inspections are coordinated; information is shared; and follow-up is conducted in a timely manner.

### e) Multi-Disciplinary Teams Should Conduct Inspections of Facilities and Group Homes

The Commission should ensure that interdisciplinary teams of people conduct inspections of facilities. For example, when a judge goes to inspect a juvenile high school, someone from LACOE should accompany him/her to help ensure appropriate educational questions are addressed. The Oversight Commission should help facilitate these interdisciplinary visits that include individuals from different agencies, disciplines, organizations, and existing oversight entities. All teams should include individuals and agency representatives authorized to make unannounced visits, and to speak with probationers. (When youth are interviewed, counsel should be notified in advance.)

Page 12 Last revised 10.18.2016

# IV. DETERMINE WHETHER OVERSIGHT FOR JUVENILE AND ADULT SHOULD BE SEPARATED OR MERGED, AND HOW IT SHOULD BE STRUCTURED.

- a) Recommendation as to whether oversight is needed to assess juvenile and adult probation operations collectively as a whole or separately

  The Working Group unanimously agrees there is a need for oversight of both juvenile and adult probation. We believe there should be one single

  Commission that includes staff members with subject matter expertise in both areas (adult and juvenile).
- **b**) The Working Group believes that, at a minimum, the Probation Department should include two separate divisions for both adult and juvenile. We further believe the juvenile division should include TAY.

To continue discussing (notes from our 8-17 meeting);

One probation "Agency" with two separate Departments – a
 Department for Juvenile and a separate Department for Adult
 Probation? That would mean having one Chief PO who oversees
 both Departments, with a Chief of Juvenile Services, and a Chief
 of Adult Services.

### V. STRUCTURE, AUTHORITY AND RESPONSIBILITY OF THE NEW COMMISSION

#### **AUTHORITY OF THE COMMISSION**

#### a) The Oversight Commission Must Have Resources

A permanent civil oversight commission should be an independent body with sufficient resources, staff, and support to be effective and have the ability to get things done, including an Executive Director, professional staff, and dedicated office space. The Commission Office should not be located within the Probation Department. Oversight Commissioners should be compensated for their time and work.

#### b) The Oversight Commission Must Have the Authority to Ensure Compliance and Accountability

The Oversight Commission must have the legal authority and a meaningful enforcement mechanism to hold the Probation Department accountable. Such authority might include the ability to require a response from the Chief Probation Officer or designee on an action, report, or corrective measure

Page 13 Last revised 10.18.2016

within a reasonable period of time. The Commission also needs the ability to respond in a timely fashion (or generate a timely response from the appropriate party) to concerns and issues raised.

#### c) Capacity for Budget Oversight

Financial issues and questions present ongoing concerns. Probation should be required to present budget proposals to the Oversight Commission for approval at the beginning of the BOS annual budget review process. The oversight commission should require Probation to hold a minimum of one to two additional community input hearings.

## d) Placement of JJCC - move out from under the CCJCC? Put under the Commission, and then Commission should approve JJCPA funding and budget proposals prior to submission?

### e) The Oversight Commission Must Have Access to Complete Files to Conduct Its Oversight Work.

A single person's report does not paint the entire picture. Commissioners and teams conducting oversight must be able to assess issues that involve multiple agencies (e.g., probation, education, mental health, etc.), and gather information to collect data and look for trends. The Juvenile Court should also be included and play a greater role in juvenile probation oversight. To avoid any conflict, a juvenile court judge might participate in an advisory fashion, rather than as an appointed member.

#### (Clarity around Legal Implications of an Oversight Commission)

We need greater clarity with respect to the legal implications of creating a new, separate oversight probation commission and on juvenile probation commission.

#### RESPONSIBILITIES OF THE COMMISSION

f) New Commission Should be a voting member of CCJCC (Language Pending)

Page 14 Last revised 10.18.2016

#### g) Oversight of JJCPA funding

As part of its budgetary oversight responsibilities, the Commission should ensure that JJCPA money is used to provide youth with pre-dispo services as soon as possible to prevent removal from the home and entry / deeper entry into the juvenile justice system. The Commission should also review the number of youth in juvenile hall who should not be there, and who should instead be benefitting from community-based services supported by JJCPA funds.

#### h) Oversight over treatment of low-risk youth

The Commission should provide/ensure rigorous oversight over the treatment of low risk youth to avoid net-widening, and deeper entry into the juvenile and criminal justice systems. The literature suggests we must be very careful about how we treat "low risk" youth so we do not inadvertently funnel more youth into the juvenile and criminal justice systems. While many youth do need community-based services, Probation needs to improve its ability to identify and access appropriate services tailored to youth at different stages of their development. The Commission must also take care to ensure oversight over the Probation Department's referral system, and ensure that it encompasses the full array of *prevention* as well as intervention and rehabilitation services needed. The Commission should pay special attention to provide oversight over the 236 and active investigation cases.

#### i) Oversight over Reentry Services

The Commission should work to ensure greater oversight over Probation's use of community-based services, for prevention services as well as for probationers upon reentry.

#### i) Oversight over Assessment and Screening

The Commission should help ensure adequate oversight over the use of assessments and screening tools, to ensure they are connected, consistent with best practices and a strategic plan (once Probation develops one), and that recommendations are properly implemented.

#### k) Capital Improvements

Capital Improvements should be included as a part of ongoing oversight.

#### 1) The CERC Quarterly Report Should Serve as a Model

The Commission might look to the CERC quarterly report (including corrective actions plans, recommendations, and follow-up) as a potential model for other/all entities to utilize to stay current with respect to various issues, actions, recommendations, and status updates. This process was just changed for juveniles, and might be replicated on the adult side (where it currently does not exist), as well.

Page 15 Last revised 10.18.2016

#### STRUCTURE OF OVERSIGHT COMMISSION

#### m) Need for Independence of an Oversight Commission

The Oversight Commission should be independent from Probation and all county departments. This Commission should be interdisciplinary, and have the ability to influence policy. It must also have the requisite support and personnel to be effective (a healthy budget, staff, tech support for an interactive database, etc.).

#### n) Recommendation re Separate Oversight Commissions

We should one probation oversight commission with separate subcommittees for Juvenile Probation and Adult Probation. (Note: this recommendation might? require legislative changes in the Welfare and Institutions Code, as well as the county charter.)

### o) Oversight Should be Divided into two areas: (1) Monitoring and (2) Practice, Development, and Accountability

A monitoring subgroup of the Oversight Commission could oversee both adult and juvenile monitoring. If this monitoring group discovers any policy violation, it will serve as the ethical group to review, assess, and make a determination. A separate group for practice, development, and accountability, however, should be divided into adult and juvenile divisions. The juvenile subgroup should be well-informed and understand the research and literature around juvenile justice, and partner with Probation to help make the department more responsive to the unique needs of juveniles. The adult division of the practice, development and accountability subgroup will serve the same role for the adult probation population.

#### p) Reporting Authority

The Oversight Commission should report back directly to the Board of Supervisors. If, after corrective actions are recommended (or directed), deficiencies continue or Probation shows a lack of responsiveness, this entity will have direct access to the BOS.

#### COMPOSITION AND QUALIFICATIONS OF THE OVERSIGHT COMMISSION

# q) Inclusion of the Courts in Oversight (of adult and juvenile) In the spirit of collaboration and integration, the Courts need to be included in an ongoing and meaningful way as part of all Probation oversight efforts. Courts are currently removed from oversight of Probation. Los Angeles County is an outlier in that respect – we are the only county in the state where courts are not duly authorized body for oversight. Inclusion of judges in the oversight commission can begin to remedy that void.

#### r) There Must be Community Involvement in Oversight.

Page 16 Last revised 10.18.2016

Community-based organizations that serve probationers have tremendous expertise and ideas, and must be invited to the table to help weigh in on the oversight process and recommendations for reform. The CBOs must also be held accountable with respect to the services they provide. CBO representation should be included on the Oversight Commission, and in the discussion about the standards to which CBOs must be held accountable.

### s) DCFS and the Department of Mental Health Should be Included in Collaborative Oversight Discussions

The Commission should work to facilitate improved collaboration between and among the departments, and to bring mental health into the discussion. There are too many cases involving crossover youth and youth with mental health issues where everyone thinks someone else (a different department) is handling an issue. As a result, critical needs go unaddressed.

#### t) Role of the Ombudsman

The Ombudsman should be included as part of the Probation Oversight Commission, and be made completely independent of the Probation Department. Currently, when the Ombudsman makes recommendations, they appear to fall into a "black hole." We need a thorough fiscal analysis to assess the feasibility of a new staffing structure to support the Ombudsman and ensure that her recommendations are carried out.

#### u) Qualifications of Oversight Commissioners

Oversight Commissioners should have background and experience in a variety of disciplines, including Probation, Rehabilitation, Mental Health, Public Health, Education, Health Care, Social Work, Facilities, Law Enforcement. This body should be an interdisciplinary one.

Page 17 Last revised 10.18.2016